



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

FAX: (937) 432-9776

CHARLES W. MORRISON
PHONE: (419) 902-6008

JOHN T. NICHOLSON
PHONE: (419) 902-4233

Name(s): _____

Mailing Address: _____

Telephone No. (Work) _____ (Home) _____

FAMILY INFORMATION

Marital Status:

Husband and Wife

Unmarried, Widow or Widower

Unmarried, Divorced

Married Person Establishing Separate Property Trust

Name(s) of Children:

* Show birth date if child is under 21.

* Married Couples: If either spouse has a child from a previous marriage, indicate which spouse is the parent by (H) or (W) after the child's name.

* Indicate any legally adopted child by an (A) after the child's name.



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

CHARLES W. MORRISON
PHONE: (419) 902-6008

FAX: (937) 432-9776

JOHN T. NICHOLSON
PHONE: (419) 902-4233

(If additional space is needed, attach a list)

Any deceased children? Yes No

Name of Deceased Child: _____ Left _____ descendants.

Name of Deceased Child: _____ Left _____ descendants.

Name of Deceased Child: _____ Left _____ descendants.

Other Pertinent Family Information or Explanation of Above Items:

INFORMATION CONCERNING ASSETS

Married Couples:

Husband has separate property assets.

Wife has separate property assets.

All assets are held jointly.

1. Estimate of amount of net worth of estate: \$ _____

2. Cash or cash-equivalent accounts held at financial institutions *other than stock broker firms* (check all that apply):

Checking & Savings Accounts, or Certificates of Deposit, with *banks and savings & loans*. Do not include IRAs. How many different Financial Institutions? _____



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

CHARLES W. MORRISON
PHONE: (419) 902-6008

FAX: (937) 432-9776

JOHN T. NICHOLSON
PHONE: (419) 902-4233

Checking & savings accounts, or certificates of deposit, with *credit unions*. Do *not* include IRAs. How many different Credit Unions? _____

3. Retirement plans:

Individual retirement accounts (IRAs), Keogh or other individual plan providing *tax deferral* for deposits and income. How many different financial institutions hold IRA accounts for:

Husband _____ Wife _____

Employer-provided profit sharing, retirement or other benefit plans:

Company stock is presently held in the plan.

Vested benefits are provided *after* the death of the plan participant that can be paid to beneficiaries *other than* the participant's spouse.

Check here if the aggregate value of assets held in tax-deferred retirement plans exceeds \$100,000. (If so, provide detailed information about values involved, types of plans, and available payout options. A copy of the plan documents would be most helpful.)

4. Other investments--check all that apply:

"Ready cash" or money fund accounts, or certificates of deposit with stock broker firms. Do *not* include IRAs. How many different broker firms? _____

Stocks and bonds--where your broker holds the certificates and sends you periodic statements showing your account balance. How many different stock brokerage firms? _____

Stocks and bonds (other than U.S. Savings Bonds)--where you hold the certificates in your possession. How many different companies/issuers? _____

Mutual funds--*include only those where you deal directly with the issuing company rather than through your stock broker*. How many different mutual fund companies? _____



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

CHARLES W. MORRISON
PHONE: (419) 902-6008

FAX: (937) 432-9776

JOHN T. NICHOLSON
PHONE: (419) 902-4233

U.S. savings bonds.

Treasury bills or other government securities *not held by a broker or mutual fund*. Do you have a "Treasury Direct" account? Yes No

"Public" limited partnerships--include only those where you deal directly with the Limited Partnership, instead of through your stock broker. *Do not include any partnerships that are listed in item 5 below*. How many public limited partnerships? _____

Oil and gas royalty or working interests. How many? _____

Oil and gas mineral rights in land. How many parcels of land? _____

Other securities _____ [describe] _____

5. *If engaged in business complete this item.*

Do you own your own business, or are you a partner in an operating business?

Yes No If yes, complete the following:

Business is organized as a *corporation*. How many corporations? _____

Business is organized as a *partnership*. How many partnerships? _____

Business is a *sole proprietorship*. How many different firms? _____

Business is an *LLC*. How many different members? _____

6. Do you own any *mobile homes*? Yes No How many? _____

If the mobile home is your *principal personal residence*, check here:

7. Real estate ownership: **NOTICE:** For purposes of this question, real estate is owned whether or not you still owe money on your mortgage or purchase contract. Real estate is *not* owned if you have sold



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

CHARLES W. MORRISON
PHONE: (419) 902 - 6008

FAX: (937) 432 - 9776

JOHN T. NICHOLSON
PHONE: (419) 902 - 4233

the property and are receiving installment payments. Property that has been sold should be listed under item 8 below.

Personal residence--located in state of _____

Other property. How many *different* parcels of real estate do you own, other than your personal residence? All property conveyed to you under a single deed should be considered as *one* parcel.

_____ Parcels Located in State of _____

_____ Parcels Located in State of _____

_____ Parcels Located in State of _____

Are you purchasing any of the above properties on a *contract of sale or land sale contract*?

Yes No. If Yes, how many different purchase contracts? _____

8. Receivables: If any money is owed to you, as payments on contracts, as payments on obligations secured by real estate, where you have sold a business, or where you have loaned money to someone and you hold a note whether or not secured by real or personal property, indicate each type of evidence of indebtedness that you hold.

SHOW HOW MANY OF EACH KIND IN THE SPACE.

Promissory note secured by *Deed of Trust* encumbering real estate.

Promissory note secured by *Mortgage* encumbering real estate.

Installment contract of sale of *real estate*.

Installment contract of sale of *personal property* (security interest).

Unsecured promissory note.

9. Life Insurance: Please indicate name of person insured, and face amount of policy. Indicate by [SP] if a



MORRISON & NICHOLSON, LLC

ATTORNEYS AT LAW

THE SECOND FLOOR
4 W FRANKLIN
DAYTON, OH 45459

FAX: (937) 432-9776

CHARLES W. MORRISON
PHONE: (419) 902-6008

JOHN T. NICHOLSON
PHONE: (419) 902-4233

single premium policy.

10. Annuities: Please indicate name of annuitant, and type of annuity. We do *not* need contract numbers or names of issuing companies. DO NOT LIST ANNUITIES UNDER WHICH NO BENEFITS ARE PAYABLE AFTER YOUR DEATH.

Tax-deferred annuities: _____

Regular annuities payable for guaranteed minimum term or amount: _____

11. Personal property other than automobiles, trucks, boats, and trailers:

- Household furniture and appliances
- Collections, antiques, valuable jewelry
- Motor home
- Business machinery and equipment
- Personal equipment and tools
- Farm or ranch machinery and equipment (other than general household tools)
- Livestock